



Rutland County Council

Catmose, Oakham, Rutland, LE15 6HP

Telephone 01572 722577 Email governance@rutland.gov.uk

Ladies and Gentlemen,

A meeting of the **AUDIT AND RISK COMMITTEE** will be held in the Council Chamber, Catmose, Oakham, Rutland, LE15 6HP on **Tuesday, 27th September, 2022** commencing at 7.00 pm when it is hoped you will be able to attend. The meeting will also be available to view via Zoom at: <https://us06web.zoom.us/j/84326498308>

Yours faithfully

Mark Andrews
Chief Executive

Recording of Council Meetings: Any member of the public may film, audio-record, take photographs and use social media to report the proceedings of any meeting that is open to the public. A protocol on this facility is available at www.rutland.gov.uk/my-council/have-your-say/

A G E N D A

1. APOLOGIES

2. MINUTES

To confirm the minutes of the Audit and Risk Committee held on 26th April 2022 and 28th June 2022 and to receive an update on actions agreed in the minutes of the previous meeting.
(Pages 5 - 12)

3. DECLARATIONS OF INTEREST

In accordance with the Regulations, Members are invited to declare any disclosable interests under the Code of Conduct and the nature of those interests in respect of items on this Agenda and/or indicate if Section 106 of the Local Government Finance Act 1992 applies to them.

4. PETITIONS, DEPUTATIONS AND QUESTIONS

To receive any petitions, deputations and questions received from Members of the Public in accordance with the provisions of Procedure Rules 93 and 94.

The total time allowed for this item shall be 30 minutes. Petitions, declarations and questions shall be dealt with in the order in which they are received. Questions may also be submitted at short notice by giving a written copy to the Committee Administrator 15 minutes before the start of the meeting.

The total time allowed for questions at short notice is 15 minutes of the total time of 30 minutes. Any petitions, deputations and questions that have been submitted with prior formal notice will take precedence over questions submitted at short notice. Any questions that are not considered within the time limit shall receive a written response after the meeting and be the subject of a report to the next meeting.

5. QUESTIONS WITH NOTICE FROM MEMBERS

To consider any questions received from Members of the Council in accordance with the provisions of Procedure Rule 95.

6. NOTICES OF MOTION

To consider any Notices of Motion from Members submitted under Procedure Rule 97.

7. EXTERNAL AUDIT PROGRESS REPORT

To receive a verbal update from the Strategic Director for Resources and the Council's External Auditors.

8. REGULATION OF INVESTIGATORY POWERS ACT 2000 (RIPA)

To receive a verbal update from the Strategic Director of Resources.

9. INTERNAL AUDIT PROGRESS REPORT

To receive Report No.154/2022 from the Chief Internal Auditor.
(Pages 13 - 26)

10. INFORMATION GOVERNANCE ANNUAL REPORT

To receive Report No.152/2022 from the Director for Legal & Governance.
(Pages 27 - 38)

11. EXCLUSION OF THE PRESS AND PUBLIC

Audit & Risk is recommended to determine whether the public and press be excluded from the meeting in accordance with Section 100(A)(4) of the Local Government Act 1972, as amended, and in accordance with the Access to Information provisions of Procedure Rule 239, as the following item of business is likely to involve the disclosure of exempt information as defined in Paragraph 2 of Part 1 of Schedule 12A of the Act.

Paragraph 3: Information relating to the financial or business affairs of any particular person (including the authority holding that information).

12. STRATEGIC RISK REGISTER

To receive Report No.153/2022 from the Strategic Director of Resources.
(Pages 39 - 64)

13. ANY OTHER URGENT BUSINESS

To receive items of urgent business which have previously been notified to the person presiding.

14. DATE OF THE NEXT MEETING

Tuesday 6th December 2022

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DISTRIBUTION

MEMBERS OF THE AUDIT AND RISK COMMITTEE:

Councillor A Walters (Chair)

Councillor E Baines (Vice-Chair)

Councillor D Blanksby

Councillor W Cross

Councillor A MacCartney

Councillor J Fox

Councillor R Payne

OTHER MEMBERS FOR INFORMATION